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UNPRI

UNPRI Signatory Survey Autumn 2020

QUESTIONNAIRE

OP15484

22 October 2020

Project details

PROJECT NUMBER	OP15484
PROJECT NAME	UNPRI Signatory Survey Autumn 2020
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PROJECT TYPE	Custom

PRI Strategic Plan 2021-24

The strategic plan sets out how we intend to take the next step in delivering our *Blueprint for responsible investment*. We encourage feedback from signatories on the plan, including via an online survey. The survey covers both questions on the strategic plan *and* strengthened minimum requirements. (See survey questions in full below.)

The survey will take from 15 minutes to complete, with tick box options and voluntary free text for every question. (Please respond to the free text in English.)

We encourage one response per signatory organisation. Please complete the survey online before 17:00 GMT, 25 November 2020. If you have any questions about the survey process please contact governance@unpri.org.

As this is a formal consultation at the end of the survey period the PRI will provide: a response from the PRI Board; a summary of results of the online survey; and the full responses by all responding signatories as an appendix.

Please complete the online survey [here](#).

Resources to accompany the survey:

- [PRI Strategic Plan 2021-24 for consultation](#)
- [Further information on the PRI minimum requirements](#)

Main questionnaire

D1. Which of the following best describes your organisation's relationship to the PRI?

1. PRI Signatory – Investment Manager
2. PRI Signatory – Asset Owner
3. PRI Signatory – Service Provider
4. Non-signatory

D2. Name of organization

Q1. To what extent do you agree or disagree with the following statement?

The strategy is the right level of ambition for the PRI

- ☐ Strongly agree ☐ Agree ☐ Neither agree/nor disagree ☐ Disagree ☐ Strongly disagree

Why do you agree or disagree?

Q2. To what extent do you agree or disagree with the following statement?

The work on ESG integration outlined in this strategy will help my organisation

☐ Strongly agree ☐ Agree ☐ Neither agree/nor disagree ☐ Disagree ☐ Strongly disagree

Why do you agree or disagree?

Q3. To what extent do you agree or disagree with the following statement?

Increased work on real-world outcomes will be of interest to my organisation

☐ Strongly agree ☐ Agree ☐ Neither agree/nor disagree ☐ Disagree ☐ Strongly disagree

Why do you agree or disagree?

Q4. Which of the initiatives will provide the most value for your organisation?

(Mandatory select 5 most important options)

1. Provide evidence, tools, guidance and examples of best practice in all asset classes on assessing financial risks/opportunities and aligning with real-world outcomes across: selection, appointment and monitoring of managers; ESG incorporation; engagement and proxy voting; disclosure and oversight
2. Develop investors' understanding of, and approach to, stewardship, to prioritise outcomes over process, and universal goals over relative performance
3. Facilitate collaborative engagements on systemic ESG issues – those that affect the whole system across multiples companies, sectors, markets and/or economies
4. Provide dedicated support for asset owners, including on issue-based work (particularly climate change and human rights) and in the investment process (including consultants and mandates)
5. Increase support for emerging market investors
6. Deliver the new reporting and assessment process, ensuring that it remains useful and relevant to signatories, and drives positive change

7. Develop a leadership programme that recognises excellence and supports learning for all signatories
8. Increase minimum requirements, supporting all signatories to meet them and engaging with those that do not
9. Build confidence in data reported to the PRI, by requiring stronger assurance measures
10. Enhance training for investment professionals through updated courses on the PRI Academy
11. Better connect investors and academics, to share academic insights and aid collaboration.
12. Lead engagement with policy makers on climate and other priority issues
13. Contribute to ESG incorporation being implemented in financial policy and regulation in core markets, including providing technical input on sustainable finance policies
14. Conduct legal and policy analysis to help develop the environment and frameworks needed to support investing for real-world outcomes
15. Work with other financial market participants – such as voting chain intermediaries, passive index providers, credit rating agencies and investment consultants – to ensure their products and services are aligned with the sustainability needs of their investor clients
16. Collaborate with others to develop a single global sustainability reporting system for investors and corporations, including propagating harmonised and meaningful data on sustainability performance and outcomes
17. Analyse investment market structure, to define sustainability-enhancing interventions for systemically important participants
18. Support climate risk assessment, including through the Inevitable Policy Response project, PRI reporting and TCFD
19. Encourage investors to align portfolios with the Paris Agreement, including through supporting the UN-convened Net Zero Asset Owner Alliance, the EU taxonomy for sustainable activities and The Investor Agenda
20. Build investors' understanding of human rights and support them in embedding the UN Guiding Principles and the OECD Guidelines for Multinational Enterprises into their investment activities
21. Support investors' understanding of priority issues, to help drive investment in line with planetary boundaries, inclusive societies and a corporate culture that delivers sustainable performance
22. Provide tailored guidance on investing with real-world outcomes across multiple markets

Q5. Are there any key strategic issues that you feel are missing and would like more support from the PRI on?

☐ Yes ☐ No ☐ Don't know

Q5A. If yes, what key strategic issues do you feel are missing and would like more support from the PRI on?

Q6. Are there any areas in the strategy that you don't feel the PRI is best placed to work on?

☐ Yes ☐ No ☐ Don't know

Q6A. If yes, what areas in the strategy don't you feel the PRI is best placed to work on?

**Q7. Please give us your views of the proposed additional minimum requirement:
Support for signatory accountability via increased minimum requirements**

☐ Strongly agree ☐ Agree ☐ Neither agree/nor disagree ☐ Disagree ☐ Strongly disagree

Why do you agree or disagree?

**Q8. Please give us your views of the proposed additional minimum requirement:
Increasing Responsible Investment policy coverage from >50% to >90% signatory
AUM**

☐ Strongly agree ☐ Agree ☐ Neither agree/nor disagree ☐ Disagree ☐ Strongly disagree

Why do you agree or disagree?

**Q9. Please give us your views of the proposed additional minimum requirement:
Public disclosure of Responsible Investment policy**

☐ Strongly agree ☐ Agree ☐ Neither agree/nor disagree ☐ Disagree ☐ Strongly disagree

Why do you agree or disagree?

**Q10. Please give us your views of the proposed additional minimum requirement:
ESG incorporation in all asset classes in which at least US\$10bn is invested, or that
make up 10%+ of AUM**

☐ Strongly agree ☐ Agree ☐ Neither agree/nor disagree ☐ Disagree ☐ Strongly disagree

Why do you agree or disagree?

**Q11. Please give us your views of the proposed additional minimum requirement:
Incorporation of ESG in stewardship practices for Listed Equity assets**

☐ Strongly agree ☐ Agree ☐ Neither agree/nor disagree ☐ Disagree ☐ Strongly disagree

Why do you agree or disagree?

**Q12. Please give us your views of the proposed additional minimum requirement:
Internal verification of PRI reported data**

☐ Strongly agree ☐ Agree ☐ Neither agree/nor disagree ☐ Disagree ☐ Strongly disagree

Why do you agree or disagree?

**Q13. Please give us your views of the proposed additional minimum requirement:
Shorter timeline, of one year engagement, before delisting**

☐ Strongly agree ☐ Agree ☐ Neither agree/nor disagree ☐ Disagree ☐ Strongly disagree

Why do you agree or disagree?

**Q14. Are there any other issues that you would like to raise as part of this
consultation on the strategy and minimum requirements?**

- END OF SURVEY -

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